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## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	RPS920020173US1	Total Pages	29				
First Named Inventor or Application Identifier							
Bruce E. Baker	PT0						
Express Mail Label No.	ER540257493		S.				
			→ Z. L.				

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents  ADDRESS TO: Mail Stop Patent Application P.O. Box 1450  Alexandria, VA 22313-1450				
1. X Fee Transmittal Form (Submit an original, and a duplicate for fee processing)  2. X Specification (Total Pages)22  Descriptive title of the Invention  Cross References to Related Application  Statement Regarding Fed sponsored R & D  Reference to Microfiche Appendix  Background of the Invention  Brief Description of the Drawings (if filed)  Detailed Description  Claim(s)  Abstract of the Disclosure  Drawing(s) (35 USC 113) (Total Pages)  4. Oath or Declaration (Total Pages)  Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation / divisional with Box 17 completed)  [Note Box 5 below]  i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  Incorporation by Reference (useable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
18. CORRESPOND					
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Assignee Name: INTERNATIONAL BUSINESS MACHINES CORPORATION Assignee R sid nc : Armonk, New York					

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## **FEE TRANSMITTAL**

Note: Effective October 1, 2001. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$ 1122

Complete If Known				
Application Number	Not yet assigned			
Filing Date	November 26, 2003			
First Named Inventor	Bruce E. Baker			
Group Art Unit				
Examiner Name				
Attorney Docket Number	RPS920020173US1			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge		3. ADDITIONAL FEES					
indicated fees and credit any over payments to:	1	Entity	Small				
Deposit Account Number: 50-0563	Fee Code	Foo (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account Name: IBM CORPORATION	1051	Fee (\$) 130	2051		Surcharge - late filing fee or oath		
Deposit Account Name: IBM CORPORATION	1052				•		
Charge Any Additional Charge the Issue Fee  ☐ In 37 CFR at the Mailing		50	2052	25	Surcharge - late provisional filing fee or cover sheet		
37 CFR 1.16 and 1.17 of the Notice of Allowance	1053	130	1053	130	Non-English specification		
	1812	2520	1812	2520	For filing a request for reexamination		
2. Payment Enclosed:	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
☐ Check ☐ Money Order ☐ Other	1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. FILING FEE	1252	420	2252	210	Extension for reply within second month		
1. 112.110122	1253	950	2253	475	Extension for reply within third month		
Large Entity Small Entity	1254	1480	2254	740	Extension for reply within fourth month		
Fee Fee Fee Fee Description Fee Paid	1255	2010	2255	1005	Extension for reply within fifth month		
Code (\$) Code (\$)	1401	330	2401	165	Notice of Appeal		
1001 770 2001 385 Utility filing fee 770	1402	330	2402	165	Filing a brief in support of an appeal		
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearing		
1003 530 2003 265 Plant filing fee	1451	1510	1451	1510	Petition to institute a public use proceeding		
1004 770 2004 385 Reissue filing fee	1452	110	2452	55	Petition to revive - intentional		
1005 160 2005 80 Provisional filing fee	1453	1330	2453	665	Petition to revive - unintentional		
SUBTOTAL (1) \$ 770	1501	1330	2501	665	Utility issue fee		
2. CLAIMS Fee from	1502	480	2502	240	Design issue fee		
Extra below Fee Paid	1503	640	2503	320	Plant issue fee		
Total Claims 30 -20 = 10 x 18 = 180	1460	130	1460	130	Petitions to the Commissioner		
Ind. Claims 5 -3 = 2 x 86 = 172	1807	50	1807	50	Petitions related to provisional applications		
Multiple Dep. Claims 0 x 290 = 0	1806	180	1806	180	Submission of Information Disclosure Stmt		
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Fee Fee Description Code (\$) Code (\$)	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1814	110	2814	55	Statutory disclaimer (37 CFR 1.120(d))		
1203 290 2203 145 Multiple dependent claim	Other fee	e (specify)					
		,					
SUBTOTAL (2) \$ 352	*Reduce	d by Basic	Filing Fee	e	SUBTOTAL (3)	0	
SUBMITTED BY	SUBMITTED BY Complete (if applicable)						
995mii 125 51					Complete (ii applicable)		

SUBMITTED BY		Complete (if applicable)				
Typed or Printed Name	Brian C. Kunzler			Reg. Number	38,527	
Signature	Backling	Date	Nov 26, 2003	Deposit Account User ID		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Mail Stop Assignments, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT APPLICATION

Docket No.: RPS920020173US1

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: November 26, 2003

I hereby certify that this patent application in the name of Bruce E. Baker, Martin J.

Crippen, Francis A. Kuchar Jr., Peter A. Smith, and Brian A. Trumbo for APPARATUS,

SYSTEM, AND METHOD FOR COMPONENT DEACTIVATED INTERLOCK, together with

the drawings, an Information Disclosure Statement, PTO Form 1449, and Copies of Cited

References are being deposited with the United States Postal Service "Express Mail Post Office

to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope

addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

Respectfully submitted,

Brian C. Kunzler

Reg. No. 38,527

Attorney for Applicant

Date: November 26, 2003

Brian C. Kunzler, P.C.

10 West 100 South, Suite 450

Salt Lake City, Utah 84101 Telephone: 801/994-4646